

Inventor(s): KIM, Song-Bae
Appln. No. 09/582877 or Patent No.

OCT 01 2001
U.S. PATENT AND TRADEMARK OFFICE

Filed: June 30, 2000 or Issued:

For: PHARMACEUTICAL COMPOSITION HAVING ANTITUMOR ACTIVITY AND PROCESS FOR THE PREPARATION THEREOF

Atty. Dkt. PMS / M# Client Ref

SMALL ENTITY STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27 (b)) - INDEPENDENT INVENTOR

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled as above and described in

X the specification filed herewith
one → Application No. , filed
box → Patent No. , issued

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, convey or license any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).:

Each (small entity) person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention

X → there is no such person, concern, or organization.
one → such persons, concerns or organizations are listed in (A) and (B) below.

(A) FULL NAME of assignee/licensee/grantee/conveyee*
ADDRESS

INDIVIDUAL SMALL BUSINESS CONCERN NONPROFIT ORGANIZATION

(B) FULL NAME of assignee/licensee/grantee/conveyee*
ADDRESS

INDIVIDUAL SMALL BUSINESS CONCERN NONPROFIT ORGANIZATION

*NOTE: Separate verified statement is required from each person, concern or organization named in (A) and (B) above having rights to the invention, averring to his/her/its status as a small entity. (37 CFR 1.27)

I acknowledge the duty to file in this case notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

1. <u>KIM, Song-Bae</u> NAME OF INVENTOR <i>(Signature)</i>	2. <u> </u> NAME OF INVENTOR <u> </u>	3. <u> </u> NAME OF INVENTOR <u> </u>
Signature of Inventor <u>September 18, 2000</u> Date	Signature of Inventor <u> </u> Date	Signature of Inventor <u> </u> Date